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**FEE TRANSMITTAL  
For FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130 )

**Complete if known**

Application Number	10/849,981
Filing Date	May 20, 2004
First Named Inventor	Ernst Ach et al.
Examiner Name	
Group/Art Unit	3652
Attorney Docket No.	16756

**METHOD OF PAYMENT (check one)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit  
Acct. No. 13-0005  
Deposit  
Acct. Name MacMillan, Sobanski, & Todd, LLC

The Director is authorized to: (Check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
☐ Charge fee(s) indicated below, except the filing fee, to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) \$ 0

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra	Below	Fee Paid
20** = 0	18/9 =		
Independent Claims 3** = 0	x 86/43 =		
Multiple Dependent	x 290/145 =		

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

\*\* or number previously paid, if greater; for Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	130
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,520	2812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	2804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	2805	1,840*	Requesting a publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within 1 <sup>st</sup> month	
1252	420	2252	210	Extension for reply within 2 <sup>nd</sup> month	
1253	950	2253	475	Extension for reply within 3 <sup>rd</sup> month	
1254	1,480	2254	740	Extension for reply within 4 <sup>th</sup> month	
1255	2,010	2255	1,005	Extension for reply within 5 <sup>th</sup> month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	2807	50	Petitions related to provisional applications	
1806	180	2806	180	Submission of Information Disclosure Statement	
8021	40	28021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination	
1802	900	2802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 130

**SUBMITTED BY**

Typed or Printed Name

William J. Clemens

Signature



Date September 22, 2004

**Complete (if applicable)**

Reg. No.

26,855

Deposit Account User ID

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select Option 2.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this document is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below.



*Terr. G. Fox*  
(signature)

Date of signature and deposit - Sept 22, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ACH et al.	)	Group Art Unit: 3652
	)	
Serial No.: 10/849,981	)	Examiner:
	)	
Filed: May 20, 2004	)	Attorney Docket: 16756
	)	
For: <u>ELEVATOR SYSTEM</u>	)	

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P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated July 26, 2004, Applicants submit for filing the enclosed substitute patent specification and a declaration signed by all of the inventors. The enclosed specification is the same as the one originally filed. Please substitute the enclosed specification for the specification filed on May 20, 2004.

A Fee Transmittal form is enclosed authorizing a charge of the \$130.00 Government Surcharge under 37 CFR 1.16(e) to our deposit account. Please date stamp and return the enclosed postcard indicating your receipt of this specification and fee.

Respectfully submitted,

*William J. Clemens*  
William J. Clemens, Reg. No. 26,855  
(734) 542-0900

MacMILLAN, SOBANSKI & TODD  
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c:\cf2003\16756.bdc



UNITED STATES PATENT AND TRADEMARK OFFICE

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 United States Patent and Trademark Office  
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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/849,981	05/20/2004	Ernst Friedrich Ach	16756

04859  
 MACMILLAN SOBANSKI & TODD, LLC  
 ONE MARITIME PLAZA FOURTH FLOOR  
 720 WATER STREET  
 TOLEDO, OH 43604-1619

CONFIRMATION NO. 8688

## FORMALITIES LETTER



\*OC000000013331836\*

Date Mailed: 07/26/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

09/27/2004 SZEWDIE1 00000018 130005 10849981

01 FC:1051 130.00 DA

*A copy of this notice **MUST** be returned with the reply.*

*S. Yemane*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE